

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Clifford A. Lingwood, Hannah Farkas-Himsley, and Richard Hill

Serial No.: 09/632,056

Filed: August 3, 2000

For: Verotoxin Pharmaceutical Compositions and

Methods of Use Thereof

Attorney Docket No.: STI-201CN2

Group Art Unit: 1653

Examiner: Gabriele Bugiasky

MS AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

| CERTIFICATION UNDER (37 CFR 1.10  |
|---|
| "Express Mail" Mailing Label Number EV 377 653 174 US   |
| Date of Deposit March 11, 2004  |
| I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to MS AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1459. |
| March 11, 2004  Date of Signature and of Mail Deposit  By:  |
|   |

## **TERMINAL DISCLAIMER**

Dear Sir:

I, Cynthia M. Soroos, declare that I am a Patent Attorney of record for Clifford A. Lingwood, Ruth Geva, Leorah Kroyanker, and Richard Hill, all individuals, co-inventors (with the exception of Ruth Geva and Leorah Kroyanker who are the executors of co-inventor Hannah Farkas-Himsley's estate) and owners of all rights in the above-identified application, and that I am authorized to execute this terminal disclaimer on behalf of each aforementioned individuals. I further certify that I have reviewed the Declaration documents and believe, to the best of my knowledge, that title to the above-referenced patent application resides with each of the aforementioned individuals.

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Serial No.: 09/632,056 - 2 - Group Art Unit: 1653

Pursuant to 35 U.S.C. §253 and 37 C.F.R. §1.321, Clifford A. Lingwood, Ruth Geva, Leorah Kroyanker, and Richard Hill hereby disclaim the terminal portion of any patent granted on the above-identified application, which would extend beyond the expiration date of the full statutory term of U.S. Patent No. 6,228,370, entitled "Verotoxin Pharmaceutical Compositions and Medical Treatments Therewith," and hereby agrees that any patent so granted on the present application shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to U.S. Patent 6,228,370, this agreement to run with any patent granted on this application and to be binding upon the grantee, its successors or assigns.

Petitioner does not disclaim any terminal part of any patent granted on the above-identified application prior to the expiration date of the full statutory term of U.S. Patent No. 6,228,370, in the event that U.S. Patent No. 6,228,370 later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid, is statutory disclaimed in whole or terminally disclaimed under 37 C.F.R. §1.32(a), has all claims canceled by a reexamination certificate, or is otherwise terminated prior to expiration of its statutory term as presently shortened by any terminal disclaimer, except for the separation of legal title stated above.

Signed this 11th day of March 2004 by:

Name:

Cynthia M. Soroos, Registration No. 53,623

Title:

Attorney for Clifford A. Lingwood, Ruth Geva, Leorah

Kroyanker, and Richard Hill

Approved for use through 7/31/2006. OMB 0651-0032

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Effective 10/01/2003, Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 110.00

| Complete if Known    |                       |  |  |  |
|----------------------|-----------------------|--|--|--|
| Application Number   | 09/632056-Conf. #1948 |  |  |  |
| Filing Date          | August 3, 2000        |  |  |  |
| First Named Inventor | Clifford A. LINGWOOD  |  |  |  |
| Examiner Name        | Gabriele Bugiasky     |  |  |  |
| Art Unit             | 1653                  |  |  |  |
| Attomosy Dookst No.  | STL201CN2             |  |  |  |

| TOTAL   |                   | <u> </u>    | . /                                | (+)              | 110.00      |                           | 7 1110  | -, -,                                  |                       |   |   |           |        |
|---|-------------------|-------------|------------------------------------|------------------|-------------|---------------------------|---|--|-----------------------|---|---|-----------|--------|
| METHOD OF PAYMENT (check all that apply)  |                   |             |                                    |                  |             |                           | FEE CALCULATION (continued)   |  |                       |   |   |           |        |
| Check Credit Money Other None 3. ADDITIONAL FEES                                  |                   |             |                                    |                  |             |                           |   |  |                       |   |   |           |        |
| X Deposit Account:  |                   |             |                                    |                  |             |                           |   |  |                       |   |   |           |        |
| Deposit   |                   | .,          | 10.0000                            |                  |             | Large Entity Small Entity |   |  |                       |   |   |           |        |
| Account<br>Number   | Account<br>Number |             |                                    | Fee<br>Code      | Fee<br>(\$) | Fee<br>Code               | Fee<br>(\$)   |  | Fee Description       |   | Fee Paid                                      |           |        |
| Deposit Account Lahive & Cockfield, LLP   |                   |             |                                    |                  | 1051        | 130                       | 2051  | 65                                     | =                     | - late filing fee or oath                       |   |           |        |
| Name The Director is authorized to: (check all that apply)                        |                   |             |                                    |                  | 1052        | 50                        | 2052  | 25                                     | Surcharge –<br>sheet. | - late provisional filing                       | fee or cover                                  |           |        |
| X Charge fee(s) indicated below X Credit any overpayments                         |                   |             |                                    |                  | 1053        | 130                       | 1053  | 130                                    | Non-English           | specification                                   |   |           |        |
| X Charge  | any additi        | onal fe     | e(s) or any under                  | rpayment of      | fee(s)      | 1812                      | 2,520   | 1812                                   | 2,520                 | For filing a request for ex parte reexamination |   |           |        |
| Charge fee(s) indicated below, except for the filing fee                          |                   |             |                                    | 1804             | 920*        | 1804                      | 920*  | Examiner action                        |                       |   |   |           |        |
| to the above-identified deposit account.  |                   |             | 1805                               | 1,840*           | 1805        | 1,840*                    | <ul> <li>Requesting publication of SIR after<br/>Examiner action</li> </ul> |  |                       |   |   |           |        |
| FEE CALCULATION   |                   |             |                                    | 1251             | 110         | 2251                      | 55  | Extension for reply within first month |                       |   |   |           |        |
| 1. BASIC F  | FILING            | FEE         |                                    |                  |             | 1252                      | 420   | 2252                                   | 210                   | Extension fo                                    | r reply within second                         | month     |        |
| Large Entity  | Small I           | Entity      |                                    |                  |             | 1253                      | 950   | 2253                                   | 475                   | Extension fo                                    | r reply within third mo                       | onth      |        |
| Fee Fee<br>Code (\$)  | Fee<br>Code       | Fee<br>(\$) | Fee Desc                           | ription          | Fee Paid    | 1254                      | 1,480   | 2254                                   | 740                   | Extension fo                                    | or reply within fourth m                      | nonth     |        |
| 1001 770  | 2001              | 385         | Utility filing                     | fee              |             | 1255                      | 2,010   | 2255                                   | 1,005                 | Extension fo                                    | r reply within fifth mo                       | nth       |        |
| 1002 340  | 2002              | 170         | Design filir                       | ng fee           |             | 1401                      | 330   | 2401                                   | 165                   | Notice of Ap                                    | peal  |           |        |
| 1003 530  | 2003              | 265         | Plant filing                       | fee              |             | 1402                      | 330   | 2402                                   | 165                   | Filing a brief                                  | in support of an appe                         | eal       |        |
| 1004 770  | 2004              | 385         | Reissue fil                        | ing fee          |             | 1403                      | 290   | 2403                                   | 145                   | Request for                                     | oral hearing                                  |           |        |
| 1005 160  | 2005              | 80          | Provisiona                         | l filing fee     | L           | 1451                      | 1,510   | 1451                                   | 1,510                 | Petition to in                                  | stitute a public use pr                       | roceeding |        |
| SUBTOTAL (1) (\$) 0.00  |                   |             |                                    | 1452             | 110         | 2452                      | 55  | Petition to revive - unavoidable       |                       |   |   |           |        |
|   |                   |             |                                    | 1453             | 1,330       | 2453                      | 665   | Petition to revive - unintentional     |                       |   |   |           |        |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE                                       |                   |             |                                    | 1501             | 1,330       | 2501                      | 665   | Utility issue fee (or reissue)         |                       |   |   |           |        |
|   |                   |             |                                    | ee from<br>below | Fee Paid    | 1502                      | 480   | 2502                                   | 240                   | Design issue                                    | e fee   |           | l i    |
| Total Claims  | 13                | -20**       | = 0 x                              | -                | 0.00        | 1503                      | 640   | 2503                                   | 320                   | Plant issue f                                   | ee  |           |        |
| Independent   | 3                 | -3** =      | = 0 x                              |                  | 0.00        | 1460                      | 130   | 1460                                   | 130                   | Petitions to t                                  | the Commissioner                              | İ         |        |
| Claims<br>Multiple Deper  | ndent             |             |                                    | -                |             | 1807                      | 50  | 1807                                   | 50                    | Processing f                                    | fee under 37 CFR 1.1                          | 7(q)      |        |
| Large Entity  | Small E           | ntity       |                                    |                  |             | 1806                      | 180   | 1806                                   | 180                   | Submission                                      | of Information Disclos                        | sure Stmt |        |
| Fee Fee<br>Code (\$)  | Fee<br>Code       | Fee<br>(\$) | Fee                                | Descriptio       | <u>n</u>    | 8021                      | 40  | 8021                                   | 40                    |   | ach patent assignmer<br>les number of propert |           |        |
| 1202 18<br>1201 86  | 2202<br>2201      |             | Claims in exces                    |                  | 1 2         | 1809                      | 770   | 2809                                   | 385                   | Filing a subr<br>(37 CFR 1.1                    | mission after final reje<br>29(a))            | ction     |        |
| 1201 86   | 2203              |             | Independent cla<br>Multiple depend |                  |             | 1810                      | 770   | 2810                                   | 385                   |   | ditional invention to be                      | е         |        |
| 1204 86   | 2204              | 43          | ** Reissue inde                    |                  | ims         | 1801                      | 770   | 2801                                   | 385                   | -   | Continued Examination                         | on (RCE)  |        |
| 1205 18   | 2205              | 9           | over original   ** Reissue clain   | •                | s of 20     | 1802                      | 900   | 1802                                   | 900                   | Request for of a design a                       | expedited examinatio                          | ภา        |        |
|   |                   |             | and over orig                      |                  |             | Other f                   | ee (spec  | cify)                                  | 2814                  | -   | utory Disclaimers                             |           | 110.00 |
| SUBTOTAL (2) (\$) 0.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 110.00 |                   |             |                                    |                  |             |                           |   |  |                       |   |   |           |        |
| **or number previously paid, if greater, For Reissues, see above                  |                   |             |                                    |                  |             |                           |   |  |                       |   |   |           |        |

|   | (Complete | (if applicable)) |
|---|-----------|------------------|
| Name (Print/Type) Cynthia M. Soropsy / Registration No. (Attorney/Agent) 53,623 | Telephone | (617) 227-7400   |
| Signature UMIUU M   | Date      | March 11, 2004   |

| I hereby certify that this corres | pondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 377 653 174 |
|-----------------------------------|--|
| US, in an envelope addressed      | to: MS AF, Commissioner for Patents, P.Ø. Box 1450 Alexandria, VA 22313-1450, on the date shown      |
| below.                            | The Thia Lan   |
|                                   | to: MS AF, Commissioner for Patents, P.Ø. Box 1450 Alexandria, VA 22313-1450, on the date shown      |

Dated: March 11, 2004

Signature: \_\_\_\_\_\_/////

(Cynthia M. Soroos)